

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number

10/067,518

Filing Date

2/4/2002

First Named Inventor

Stephen Russell Falcon

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

Attorney Docket Number

MS1-1007US

**ENCLOSURES (check all that apply)**

Fee Transmittal Form

☐ Fee Attached

Amendment / Reply

☐ After Final☐ Affidavits/declaration(s)

Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Documents

Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence  
Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s)

After Allowance Communication  
to GroupAppeal Communication to Board  
of Appeals and InterferencesAppeal Communication to Group  
(*Appeal Notice, Brief, Reply Brief*)

Proprietary Information



Status Letter

Other Enclosure(s) (please  
identify below):

PTO Form 1449; 9 cited

references; return postcard

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual Name

Mark C. Farrell, Reg. No. 45988

Signature

Date

12-8-04

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Carly Taylor

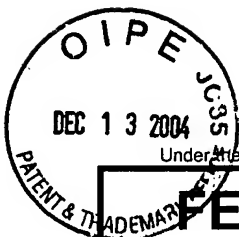
Signature

Date

12/8/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DEC 13 2004

PTO/SB/17 (10-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision.

**Complete if Known**

|                      |                        |
|----------------------|------------------------|
| Application Number   | 10/067,518             |
| Filing Date          | 2/4/2002               |
| First Named Inventor | Stephen Russell Falcon |
| Examiner Name        |                        |
| Art Unit             |                        |
| Attorney Docket No.  | MS1-1007US             |

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$0.00)

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

|                        |                   |
|------------------------|-------------------|
| Deposit Account Number | 12-0769           |
| Deposit Account Name   | Lee & Hayes, PLLC |

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|----------|
| 1001                  | 790                   | 2001                  | 395                   | Utility filing fee     |          |
| 1002                  | 350                   | 2002                  | 175                   | Design filing fee      |          |
| 1003                  | 550                   | 2003                  | 275                   | Plant filing fee       |          |
| 1004                  | 790                   | 2004                  | 395                   | Reissue filing fee     |          |
| 1005                  | 160                   | 2005                  | 80                    | Provisional filing fee |          |

**SUBTOTAL (1)**

0.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20 =        | x 18           | =        |
| Indep. Claims      | -3 =         | x 88           | =        |
| Multiple Dependent |              |                | =        |

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1202                  | 18                    | 2202                  | 9                     | Claims in excess of 20                                     |
| 1201                  | 88                    | 2201                  | 44                    | Independent claims in excess of 3                          |
| 1203                  | 300                   | 2203                  | 150                   | Multiple dependent claim, if not paid                      |
| 1204                  | 88                    | 2204                  | 44                    | ** Reissue independent claims over original patent         |
| 1205                  | 18                    | 2205                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) \$**

0.00

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1051                  | 130                   | 2051                  | 65                    | Surcharge - late filing fee or oath  |
| 1052                  | 50                    | 2052                  | 25                    | Surcharge - late provisional filing fee or cover sheet                     |
| 1053                  | 130                   | 1053                  | 130                   | Non-English specification  |
| 1812                  | 2,520                 | 1812                  | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |
| 1804                  | 920*                  | 1804                  | 920*                  | Requesting publication of SIR prior to Examination action                  |
| 1805                  | 1,840*                | 1805                  | 1,840*                | Requesting publication of SIR after Examiner action                        |
| 1251                  | 110                   | 2251                  | 55                    | Extension for reply within first month                                     |
| 1252                  | 430                   | 2252                  | 215                   | Extension for reply within second month                                    |
| 1253                  | 980                   | 2253                  | 490                   | Extension for reply within third month                                     |
| 1254                  | 1,530                 | 2254                  | 765                   | Extension for reply within fourth month                                    |
| 1255                  | 2,080                 | 2255                  | 1,040                 | Extension for reply within fifth month                                     |
| 1401                  | 340                   | 2401                  | 170                   | Notice of Appeal   |
| 1402                  | 340                   | 2402                  | 170                   | Filing a brief in support of an appeal                                     |
| 1403                  | 300                   | 2403                  | 150                   | Request for oral hearing   |
| 1451                  | 1,510                 | 1451                  | 1,510                 | Petition to institute a public use proceeding                              |
| 1452                  | 110                   | 2452                  | 55                    | Petition to revive - unavoidable   |
| 1453                  | 1,330                 | 2453                  | 665                   | Petition to revive - unintentional   |
| 1501                  | 1,370                 | 2501                  | 685                   | Utility issue fee (or reissue)   |
| 1502                  | 490                   | 2502                  | 245                   | Design issue fee   |
| 1503                  | 660                   | 2503                  | 330                   | Plant issue fee  |
| 1460                  | 130                   | 1460                  | 130                   | Petitions to the Commissioner  |
| 1807                  | 50                    | 1807                  | 50                    | Processing fee under 37 CFR 1.17(q)  |
| 1806                  | 180                   | 1806                  | 180                   | Submission of Information Disclosure Stmt                                  |
| 8021                  | 40                    | 8021                  | 40                    | Recording each patent assignment per property (times number of properties) |
| 1809                  | 790                   | 2809                  | 395                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |
| 1810                  | 790                   | 2810                  | 395                   | For each additional invention to be examined (37 CFR § 1.129(b))           |
| 1801                  | 790                   | 2801                  | 395                   | Request for Continued Examination (RCE)                                    |
| 1802                  | 900                   | 1802                  | 900                   | Request for expedited examination of a design application.                 |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

0.00

**SUBMITTED BY****Complete (if applicable)**

|                   |                 |                                   |         |           |              |
|-------------------|-----------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | Mark C. Farrell | Registration No. (Attorney/Agent) | 45988   | Telephone | 509-324-9256 |
| Signature         |                 | Date                              | 12-8-04 |           |              |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. ....10/067,518  
Filing Date .....2/4/2002  
Confirmation No.....7196  
Inventorship.....Stephen Russell Falcon  
Applicant ..... Microsoft Corporation  
Group Art Unit .....  
Examiner .....  
Attorney's Docket No. .... MS1-1007US  
Title: Speech Controls for Use with a Speech System

**INFORMATION DISCLOSURE STATEMENT**

*References -- See Attached Form PTO-1449*

**REMARKS**

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

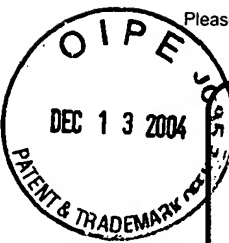
Respectfully Submitted,

Date: 12-8-04

By: Mark C. Farrell  
Mark C. Farrell  
Reg. No. 45988



Please type a plus sign (+) inside this box → ☐



Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

### Complete if Known

|                        |              |
|------------------------|--------------|
| Application Number     | 10/067,518   |
| Filing Date            | Feb 04, 2002 |
| First Named Inventor   | Falcon       |
| Group Art Unit         |              |
| Examiner Name          |              |
| Attorney Docket Number | MS1-1007US   |

### NON PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|-----------------------|--------------------------|---|----------------|
|                       |                          | "Skin (computing)".<br><a href="http://en.wikipedia.org/wiki/Skin_%28computing%29">http://en.wikipedia.org/wiki/Skin_%28computing%29</a> . Wikipedia entry.   |                |
|                       |                          | "Winamp 3 Preview".<br><a href="http://www.mp3newswire.net/stories/2001/winamp3.htm">http://www.mp3newswire.net/stories/2001/winamp3.htm</a> . 5/23/2001.   |                |
|                       |                          | "Winamp.com Skins". <a href="http://www.winamp.com/skins">http://www.winamp.com/skins</a> . (Various selections).   |                |
|                       |                          | "Winamp2: Winamp's Subwindows".<br><a href="http://www.winamp-faq.de/english/wa2/documentation/sub.htm">http://www.winamp-faq.de/english/wa2/documentation/sub.htm</a> . 2000 DigiTalk.   |                |
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|                       |                          |   |                |
|                       |                          |   |                |

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.